



# **Policy for Research Incentives and Support for Excellence (RISE)**

*Ref No. COEP Tech / Registrar Off / COEP\_RISE/211 dtd.3/4/2025*

**Research | Innovation | Incubation | Linkages**  
**COEP Technological University**  
A Unitary Public University of Government of Maharashtra  
(Formerly College of Engineering Pune)  
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## **Notification**

### **Subject: Implementation of COEP-RISE Policy**

The Board of Research Innovation and Industry Linkages (RIIL), in its 4th meeting held on 19th March 2025, recommended the implementation of the COEP-RISE (Research Incentives and Support for Excellence) Policy from 1st April 2025. This recommendation was subsequently approved in the 8th Board of Governors (BoG) meeting held on 22nd March 2025.

The COEP-RISE Policy aims to encourage and support research excellence by providing incentives for high-quality publications, patents, funded projects, and innovation-driven initiatives.

Faculty members and students are encouraged to take advantage of the provisions under this policy and enhance the research ecosystem at COEP Technological University.

For further details, please refer to the official policy document of COEP RISE or contact the Research Innovation, Incubation and Linkages (RIIL) Office.

The format and procedural details for submitting the request will be circulated shortly.

  
(Registrar)

Copy to: All HODs, Director, Deans, Associate Deans, Faculty, Students, Student Section, accounts Office, PA to Vice Chancellor, Helpdesk.



# COEP Technological University (COEP Tech)

A Unitary Public University of Government of Maharashtra  
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## COEP - RISE Policy (Research Incentives and Support for Excellence)

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## **Preamble- COEP-RISE (Research Incentives and Support for Excellence) Policy:**

COEP Technological University (COEP Tech), formerly, College of Engineering, Pune (CoEP), is a premier university in India, having a rich legacy of academic excellence and innovation spanning over 170 years. Established in 1854, COEP Tech has played a pivotal role in shaping the country's engineering education and research landscape. With a strong foundation in tradition and a keen eye on the future, we envision COEP Tech as a world-class technological university, fostering a culture of innovation, entrepreneurship, and research excellence.

## **Purpose:**

To foster a research-oriented culture in COEP Tech, promoting innovation, creativity, and excellence in innovation, research and development, and aligning with the institute's vision and mission.

## **Scope:**

This policy applies to all faculty members, researchers, and students engaged in research activities within the institute. It outlines initiatives to incentivize the researchers to opt for quality research engagements like publications, patents and proactively exploring various funding avenues. However, for sustained research ecosystem COEP Tech will also strive to create an enabling infrastructure and administrative framework to support research aspirations. A separate policy document

shall be created to address structural changes needed to create a vibrant research culture and shall be updated from time to time to accommodate reflections of global best practices in this domain.

### Objectives:

1. Encourage and support high-quality research publications in peer reviewed reputable journals.
2. Foster innovation and intellectual property development through patents and IPR, further leading to its commercialization and transfer of technology (ToT)
3. Encourage faculty members of COEP Tech to proactively attract and secure R&D funding and infrastructure development grants from government, industry, CSR, alumni and other sources.
4. Develop a culture of research excellence and collaboration among faculty, researchers, and students within and outside the University

### Types of Research Incentives:

This policy recommends a combination of monetary and non-monetary incentives to promote research excellence. The monetary incentives include research publication incentives, patent and IPR incentives, R&D funding incentives, CSR grant incentives, and alumni funding incentives. The non-monetary incentives include professional development funds, research awards and recognition, and priority access to resources. These incentives are designed to motivate faculty members, researchers, and students to pursue high-quality research, innovation, and entrepreneurship, and to recognize and reward outstanding research contributions.

### Overview of Proposed Incentives for R&D Activities:

Sr No	R&D Activity	Sub-activity	Nature of Incentive
01	Publications	Conference Paper	Cash-in-hand
		Journal paper	Cash-in-hand
		Citations	Cash-in-hand
02	Patent & IPR	Patent Submitted	Cash-in-hand
		Patent Granted	Cash-in-hand
		Transfer of Technology/ Commercialization	Cash-in-hand
03	Research & Development Funding	Government R&D Funding	Cash-in-PDF & Match Funding
		Industry R&D Funding	Cash-in-PDF & Match Funding
		Other Infra Grants	Cash-in-PDF

[Note: For publications and IPR cash-in-hand is recommended as incentive for motivating young faculty at large numbers. Fetching R&D grant is generally done by senior faculty with reasonable self-motivation. They want recognition and freedom to pursue their research aspirations. PDF amount can facilitate them in their research journey. Also, such R&D grants help in getting research fellows/facilities which ultimately result in good publications and thereby get cash incentives]

## Monetary Incentives:

### 1 Research Publication Incentives:

The university may provide incentives for publications in SCI/ Web of Science (WoS)/ Scopus-indexed journals. The incentive structure may offer enhanced rewards for papers co-authored with academicians from other Indian or international institutions, encouraging collaborative and interdisciplinary research.

#### 1.1 Incentives for publications with single author (faculty and CoEP Students)

Particulars	Category	Amount (in INR)	Collaboration with author from other Indian institutions (IITs only) (in INR)	Collaboration with author from other international institutions (in INR) and QS Ranking 1000
Papers published in SCI/ Scopus/ Web of Science indexed journals	Q1	40,000	45,000	50,000
	Q2	30,000	35,000	40,000
	Q3	15,000	20,000	25,000
	Q4	10,000	15,000	20,000

#### 1.2 Incentives for publications with multiple authors

If multiple authors are involved in the publication, the base amount as specified above shall be distributed among the authors involved as per the following matrix.

Particulars	Incentives in %		
	First Author-COEP	Corresponding authors	Other Author(s)
<b>Scenario 1: First author is from COEP</b>			
Publications with two COEP authors where first author is not the corresponding author	50%	50%	NA
Publications with two or more COEP authors where first author is also a corresponding author	60%	No separate corresponding author from COEP	40% among number of authors
Publications with more than two COEP authors, including separate first, corresponding, and other authors	35%	35%	30% among the number of remaining authors
Publications with more than two COEP authors, where the first author and two or more corresponding authors are from COEP	35%	65% among the number of authors	Not from COEP

<b>Publications with more than two COEP authors, including a first author, two or more corresponding authors, and other authors</b>	35%	55% among the number of corresponding authors	10% among the number of remaining authors
<b>Scenario 2: First author is not from COEP</b> <i>(In this scenario, only 50% of the total incentive amount would be considered for incentives)</i>			
<b>Publications with one or more corresponding authors from COEP</b>	Not from COEP	100% among number of authors	Not from COEP
<b>Publications with one or more corresponding authors and other authors from COEP</b>	Not from COEP	60% among number of authors	40% among number of authors
<b>Publications with one or more COEP authors where first and corresponding authors are not from COEP</b>	Not from COEP	Not from COEP	30% among number of authors

### 1.3 Incentives for citations

- ₹1,000 for every 100 citations received in a Calander year (data to be obtained from Google Scholar or Scopus)

[Note: The University can additionally recognize publications in exceptional quality journals like Nature, The Lancet etc. with higher rewards]

## 2 Patent and IPR Incentives:

### 2.1 Particulars:

To encourage faculty members to file more patents, incentives may be provided for both published and granted patents.

### 2.2 Incentive Details:

- The University may cover all expenses related to the patent filing.
- Rs. 10,000 may be awarded after a patent is successfully granted, to be shared equally among the inventors.
- The university may provide support for filing the patent.

### 2.3 Sanction:

For patent applications, instances where the Principal Investigator (PI) seeks funds from the University may be evaluated by a committee based on the novelty and commercial potential of the invention.



## **2.4 Ownership:**

COEP Technological University may hold 50% ownership of each patent filed in its name, with the remaining 50% shared equally among the inventors.

### **3 R & D Funding Incentives:**

- 20% of the overhead amount if the PI is getting any financial benefits like top-ups and honorarium, capped to a maximum of Rs. One lakh per project
- 50% of the overhead amount if the PI is **NOT** getting any financial benefits like top-ups and honorarium, capped to a maximum of Rs. One lakh per project
- In case there is no overhead budget in the grant letter then an incentive amount equal to 5% of the project value, capped to a maximum of Rs. one lakh per project, will be provided from the University IRG funds. The incentive will be disbursed upon the successful completion of the project and submission of the Utilization Certificate to the funding agency.
- This amount shall be deposited in the PDF account of PI. If the project has more than one PI or Co-PIs then the amount shall be distributed based on their contributions and the recommendations of the lead PI.

### **3.1 Matching Funding for R&D Grants**

1. The University may provide matching funding of up to 50% of the Equipment head cost for R&D grants secured from government, industry, or other sources. The maximum cap is ₹ 50 Lakhs for the match-funding. However, if the funding agency has put any precondition for the match-funding then the management will decide on a case-by-case basis for an additional amount as match-funding.
2. The matching funding will be used to support project-related expenses, such as equipment, personnel, and travel.
3. Requests for matching grants must be submitted within three months of purchase of equipment.
4. For a long term/multi-year project, the matching grant will be provided on pro-rata basis.
5. Grants will lapse if not utilized within six months of allocation.

### **3.2 Research seed money**

#### **3.2.1 Particulars:**

COEP Technological University, through Research Innovation, Incubation and Linkages (RIIL) office, may offer seed grant to new faculty members to encourage and facilitate their research activities in university.



### ***3.2.2 Incentive details:***

The maximum seed money paid to the faculty per year is as follows-

- Professor – Rs. 1.25 lakhs
- Associate Professor – Rs. 1 lakh
- Assistant Professor – Rs. 0.75 lakhs

### ***3.2.3 Eligibility:***

- All new full-time faculty members of the University
- Proposed projects should align with the university's strategic research priorities and demonstrate potential for significant outcomes.

## ***3.3 Corporate Social Responsibility (CSR) Grant Incentives***

### ***3.3.1 CSR Funding Recognition:***

- Recognition on the institute's website, social media, and annual reports for faculty members who secure CSR funding.

## ***3.4 Alumni Funding Incentives:***

COEP Tech has a strong alumni network, with many distinguished alumni who are eager to support their alma mater. The University encourages its faculty members and researchers to explore funding opportunities from alumni.

### ***3.4.1 Alumni Funding Recognition:***

- Recognition on the institute's website, social media, and annual reports for faculty members who secure alumni funding.

## ***Non-Monetary Incentives:***

### ***1 Professional Development Fund (PDF):***

- Establish a PDF to support researchers' participation in conferences, workshops, and training programs.

### ***2 Research Awards and Recognition:***

- Institute-level research awards for outstanding research contributions.
- Recognition on the institute's website, social media, and annual reports.

### ***3 Priority Access to Resources:***

- Priority access to research infrastructure, equipment, and facilities.
- Dedicated research support staff and administrative assistance.

### ***COEP RISE Policy Revision & Implementation:***

For the effective implementation of the COEP RISE policy it is recommended to create 2 different committees.

#### ***1 Policy Review Committee:***

- This is a high-level committee and shall meet once in a year
- It may have external experts
- The main role of this committee is to review the policy and make any changes needed to reflect the changing global research landscape and research aspirations of COEP Tech
- Ensure the policy remains relevant and effective after taking feedback from all stakeholders.

#### ***2 Research Incentivization Committee:***

- Form a committee to oversee the implementation of this policy.
- This committee shall have internal COEP Tech authorities and shall meet every quarter to approve the beneficiaries of various monetary/non-monetary provisions of this policy
- Review and approve research incentives and awards
- Recommend monetary & non-monetary incentives for exceptional cases and for the cases not covered in this document
- Report to Policy Review Committee for any implementation level issues

This policy document may be amended or updated as necessary. Any changes or updates will be communicated by the Policy Review Committee for its approval.

By implementing this RISE Policy, COEP Technological University aims to create a vibrant research ecosystem that encourages innovation, collaboration, and excellence, and strengthens its position as a premier technological university in India.

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